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Receipt
#4

PATENT
450100-03185

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ryuichiro HISAMATSU et al.
Serial No. : 09/832,229
Filed : April 10, 2001
For : DATA TRANSMISSION DEVICE, DATA RECEIVING
DEVICE, DATA TRANSMITTING METHOD, DATA
RECEIVING METHOD, RECORDING DEVICE, PLAYBACK
DEVICE, RECORDING METHOD, AND PLAYBACK
METHOD
Art Unit : 2661

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on August 3, 2001

Bruno Polito, Reg. No. 38,580

Name of Applicant, Assignee or
Registered Representative

Signature

August 3, 2001

Date of Signature

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division
Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued
in the above-identified application.

Under Applicant(s):

Please insert the fourth, fifth and sixth applicants
names and residences respectively:

--KATSUTOSHI SAKAO, KANAGAWA, JAPAN--;
--YASUHISA NAKAJIMA, KANAGAWA, JAPAN--;
--HIROSHIGE OKAMOTO, KANAGAWA, JAPAN--.

Under Foreign Applications:

Please correct the foreign filing date of foreign application number 2000-343104 from "10/10/2000" to --11/10/2000--.


The foregoing changes are needed to correct inadvertent errors, as evidenced by the Declaration submitted with the patent application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 
Bruno Polito
Reg. No. 38,530
Tel. (212) 588-0800

Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/832,229 | 04/10/2001 | 2661 | 2382 | 450100-03185 | 18 | 69 | 13 |



FROMMER LAWRENCE & HAUG LLP
745 FIFTH AVENUE
NEW YORK, NY 10151

CONFIRMATION NO. 9926

FILING RECEIPT



OC000000006113092

Date Mailed: 05/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ryuichiro Hisamatsu, Kanagawa, JAPAN;
Takamichi Mitsuhashi, Tokyo, JAPAN;
Tadashi Saito, Chiba, JAPAN;

KATSUTOSHI SAKAO, KANAGAWA, JAPAN
YASUHISA NAKAJIMA, KANAGAWA, JAPAN

Domestic Priority data as claimed by applicant *HIROSHIGE OKAMOTO, KANAGAWA, JAPAN*

Foreign Applications

JAPAN 2000-109965 04/11/2000
JAPAN 2000-109963 04/11/2000
JAPAN 2000-109962 04/11/2000
JAPAN 2000-343104 ~~10/10/2000~~

11/10/2000

If Required, Foreign Filing License Granted 05/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

DOCKETED

FROMMER LAWRENCE & HAUG, LLP
MAY 31 A 10:07

Data transmission device, data receiving device, data transmitting method, data receiving method, recording device, playback device, recording method, and playback method

Preliminary Class

370

Data entry by : ROBINSON, FELICIA

Team : OIPE

Date: 05/24/2001





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/832,229 | 04/10/2001 | Ryuichiro Hisamatsu | 450100-03185 |

FROMMER LAWRENCE & HAUG LLP
745 FIFTH AVENUE
NEW YORK, NY 10151

CONFIRMATION NO. 9926

FORMALITIES LETTER



OC000000006113093

Date Mailed: 05/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Section of \$

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Bruno Polito, Reg. No. 38,580

Name of Applicant, Assignee or
Registered Representative

Signature

August 3, 2001

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File
Missing Parts of Application" (form PTO-1533) dated May 24, 2001
on the above application, a Declaration duly signed by the
inventors, and a check in the amount of the required \$130.00
surcharge plus \$110.00 for an extension of time. By separate

cover, we are filing the Assignment and the \$40.00 fee for recording same.

This response is being filed within the first month following the expiration of the term originally set therefor. This is a petition to request a one-month extension of time. A check covering the cost of the petition is enclosed. Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 

Bruno Polito
Reg. No. 38,580
Tel. (212) 588-0800

Enclosure